

## 2023 SEC Filing Calendar Deadlines

Included below are the deadlines for filing certain reports required under the Securities Exchange Act of 1934, as amended, with the Securities and Exchange Commission. Dates reflect the deadlines for companies with a December 31st fiscal year end.

Annual Report on Form 10-K*		
Large Accelerated Filer	60 days after fiscal year end	March 1
Accelerated Filer	75 days after fiscal year end	March 16
Non-Accelerated Filer	90 days after fiscal year end	March 31

Part III Information in Proxy Statement		
If Part III of Form 10-K incorporates information from the proxy statement by reference	120 days after fiscal year end	May 1

Quarterly Report on Form 10-Q*		
Large Accelerated Filer	40 days after fiscal quarter end	May 10, Aug. 9, Nov. 9
Accelerated Filer	40 days after fiscal quarter end	May 10, Aug. 9, Nov. 9
Non-Accelerated Filer	45 days after fiscal quarter end	May 15, Aug. 14, Nov. 14

Form 20-F and 40-F Deadlines		
Foreign Private Issuers	4 months after fiscal year end	May 1
For Canadian foreign private issuers qualifying for the multi-jurisdictional disclosure system, due the same day as the issuer's annual report is due to be filed in Canada.		

Ownership Reporting		
Form 3	Within 10 days of becoming an officer, director, or beneficial owner of more than 10% of a class of equity securities registered under the Exchange Act; if the issuer is registering equity for the first time, then by the effective date of the applicable registration statement	
Form 4	2 business days after the transaction date	
Schedule 13D	Within 10 days after acquiring more than 5% beneficial ownership; amendments are due promptly after material changes	
Schedule 13G	45 days after fiscal year end	Feb. 14
Form 13F	45 days after calendar year end and each of the first three calendar quarter ends	Feb. 14, May 16, Aug. 15, Nov. 14
Form 5	45 days after fiscal year end	Feb. 14

Other Reporting Deadlines		
Form 8-K	4 business days after occurrence of a triggering event	
Form SD	No later than May 31 of each year	
Form 11-K	90 days after plan's fiscal year end	March 31
	If employee plan is subject to ERISA, then 180 after the plan's fiscal year end	June 29

\*Extension is available if a Form 12b-25 is filed no later than one business day after the filing deadline for a Form 10-Q or Form 10-K report: 15 additional calendar days to file a late Form 10-K; 5 additional calendar days to file a late Form 10-Q

~ January 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

~ April 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7**	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

~ July 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

~ October 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4	5	6	7
8	9**	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

~ February 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	7	8	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28				

~ May 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

~ August 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

~ November 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10**	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

~ March 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

~ June 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	

~ September 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

~ December 2023 ~

Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

- SEC Closed
- Large Accelerated Filer Due Date
- Accelerated Filer Due Date
- Non-Accelerated Filer Due
- Foreign Private Issuer 20-F & 40-F Due
- Form 13F
- Proxy Statement Due Date
- Form 5 & Schedule 13G
- Form SD
- Form 11-K

\*Exchange is closed on the preceding Friday when a holiday is observed on Saturday and closed on the following Monday when a holiday is observed on Sunday unless unusual business conditions exist, such as the ending of a monthly or yearly accounting period.  
 \*\*Trading is available on Veteran's Day and Columbus Day but filing is unavailable. Filing is available on Good Friday but NYSE and NASDAQ are closed.